

# OFFICIAL WARNING PROCEDURE - YELLOW CARDS

THE ROLE OF THE ESNZ OFFICIAL



## GOOD BEHAVIOUR IS AN IMPORTANT PART OF ESNZ MEMBERSHIP AND COMPETITION AND IS IMBEDDED IN THE ESNZ VALUES WHICH ARE:

- Welfare of the horse
- Integrity
- Excellence

- Unity
- Fairplay
- Respect

In an ideal world everyone would play fair, by the rules, and treat everyone else in the manner they would like to be treated themselves, but the reality is sometimes far from this.

# WHAT ARE OFFICIAL WARNINGS / YELLOW CARDS?

Yellow Card Official Warnings are used as a means to discipline members for misconduct while under the jurisdiction of any ESNZ official at an ESNZ event.

The official warning is used as a caution and will remain on the member's record for 12 months, during which time if a second official warning is received by the member, there will be further consequences including a fine and suspension from competition.

### WHEN ARE THEY USED?

Official warnings may be issued for any of the following:

- Abuse of horse
- Abuse/disregard of Official
- Safety of others

- Dangerous riding
- Incorrect behaviour
  - Non-compliance with rules

Being an ESNZ official can sometimes mean having to discipline a member for misconduct, even if we feel uncomfortable doing so – it's in the best interest of horse welfare, fair play and integrity, for that competition and for equestrian sports generally



# **CONSEQUENCES**

#### **DURING THE COMPETITION:**

- A rider who receives a Yellow Card may continue to compete at the event
- At this point the Yellow Card is just a warning, with further consequences coming in to play with a repeat of the Yellow Card (which can be for the same or another offense)

#### IMMEDIATELY AFTER THE COMPETITION/EVENT:

 The Yellow Card will be flagged on that member's profile in the ESNZ database and added to the list on the website of those with current official warnings.
The Yellow Card flag remains on their profile for 12 months.

### WHAT DO THE RULES SAY?

#### **ESNZ GENERAL REGULATION ARTICLE 143**

- 1. ESNZ Official Warnings are possible sanctions that may be used by the GJ, the AC, and/or the JC in the course of proceedings set out in this Chapter 9.
- 2. Once an Official Warning has been given by one of these bodies, and the appeal/review process has been exhausted, ESNZ will list the Official Warning on the ESNZ database against the relevant Member's profile and visible to all members. It will also be placed on a list that is posted on the ESNZ website. It will remain on the profile and on the list for 12 months.
- 3. If a Member receives a second Official Warning within 12 months of the first Official Warning, ESNZ must fine the Member \$200 and must suspend the Member from competing in any ESNZ or FEI Event for two months. ESNZ will notify the Member of the sanction and the details will also be listed on the ESNZ website and recorded against the Member's profile for a period of 12 months. These sanctions are mandatory.
- 4. Any third or subsequent Official Warning within 12 months of the second Official Warning will be referred to the JC for determination of sanction only.



# NOTIFICATION OF OFFICIAL WARNING

ESNZ official warnings can be given out at any ESNZ event, or ESNZ venue, by the Ground Jury, or Appeal Committee, to an ESNZ member.

With the new ESNZ website and database, there have been some changes to the way official warnings are handled.

Official warnings are still given out via the tri-pad, for the inappropriate behaviour/action.

After the official warning has been issued to the member, ESNZ will receive a copy of it from the appropriate official.

ESNZ officials will be able to log in to the database, select which offence applies and record it against a member's profile. They will then receive notification and copy that they have registered the Official Warning.

All members will be able to see this information on the database when they are logged on (just as anyone can view the Yellow Card notifications on the FEI website).

ESNZ admins will be able to run a report showing all Official Warnings, and will also be able to report by discipline.

Official Warnings will still be recorded with the date because if a rider receives more than one in a 12 month time period there are further consequences.



# YELLOW CARD OFFICIAL WARNING OVERVIEW

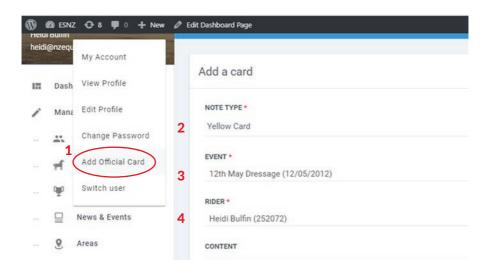
#### **DURING THE ESNZ EVENT OR AT THE ESNZ VENUE:**

- ESNZ Official issues Yellow Card/Official Warning for inappropriate behaviour/ action by ESNZ member (using the tri pad which must be signed by PGJ and Steward/OC).
- ESNZ member accepts the Official Warning card and signs the tri pad.

#### **IMMEDIATELY AFTER THE EVENT/ INCIDENT:**

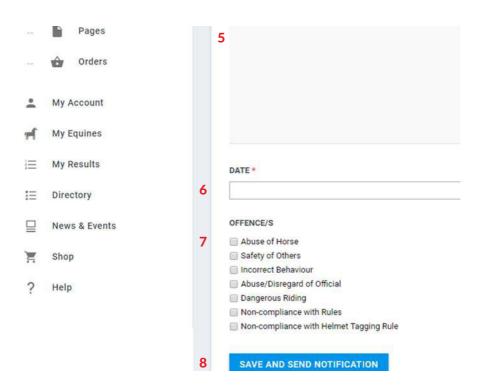
Record the issuing of the Yellow Card on the rider's ESNZ profile:

- 1. Log on to ESNZ Database and use Add Official Card under the user drop down.
- 2. Select Yellow Card (from the drop down)
- 3. Select Event (from the drop down)
- Select Rider using their full name and ESNZ number (be sure it is correct, the secretary can provide you with their ESNZ number if required)





- 5. Use the content box to record any information
- 6. Log the date the incident occurred and Yellow Card was issued
- 7. Check the Offence/s
- 8. Save and Send to submit





The member will receive an email from ESNZ advising them of the Yellow Card Official Warning and what offence the warning was for, and that it will remain on their profile for 12 months from the date of notification.



ESNZ has received notification that you have been issued with an Official Warning. The details of your Official Warning are as follows:

Offence: Abuse of Horse, Safety of Others, Incorrect Behaviour, Abuse/Disregard of Official, Dangerous Riding, Non-compliance with Rules, Non-compliance with Helmet Tagging Rule

Need to add in reference to General Regulations article number when we know it 4 to come

It is important to note that an Official Warning is exactly that and does not carry any further sanction unless a second Official Warning is received within the following 12 month period. However, it is deliberately intended to offer the rider an opportunity to reflect on their performance at an event and assess whether their conduct could be aftered and improved for future events.

The Official Warning will be recorded on your membership file and will be removed at the conclusion of the following 12 month period from the date of this notification.

#### Kind regards. The ESNZ Team











# **FAQS**

What happens if the rider receives two official warnings at one event?

#### **ANSWER:**

The person responsible will automatically be suspended for two months immediately following the event (or competition?) at which the rider is competing

Who can give out official warning cards?

#### **ANSWER:**

Official warnings can only be issued by the Ground Jury, Appeal Committee, or ESNZ Judical Committee

Will the yellow flag on the website also show what the offence was?

#### **ANSWER:**

Yes, the published list will also give the offence

O Does a non compliance of helmet count as an official warning?

#### **ANSWER:**

Non compliance of helmet has it's own consequences. The first time is a warning only, the second time also carries a \$50 fine and the third time will result in a \$250 fine and possible stand down. There is no time limit for these

Are riders also eliminated if they are issued with an official warning?

#### **ANSWER:**

Not necessarily – they would only be eliminated if they were disqualified under that discipline's rules for elimination. In some instances the official warning may also be for this offence (ie non-compliance with rules)



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