



ESNZ OFFICIALS SEMINAR



EQUESTRIAN SPORTS
NEW ZEALAND

4th March 2021

THE PLAN FOR TODAY

1. Judicial System
 - Ground Jury
 - Protests
 - Complaints
 - Working Examples
 - Dressage
 - Endurance
 - Jumping
 - FAQs
2. Blue Cards and incident reporting
3. Jumping Fall Recording System
4. Member Organisations and Associated Events and Insurance



Change the Rein

Rules and Regulations
Clean sport testing
Judicial system

**EQUESTRIAN
SPORT**

Officials

Discipline Competition



EQUESTRIAN SPORTS
NEW ZEALAND



EQUESTRIAN SPORTS
NEW ZEALAND



CHANGE *the* **REIN**

**Own your journey –
be proud to be here**

Make sure your unicorns are happy

Give newcomers a leg up

Rein in rubbish behaviour

Be a good person

And remember none of us can do this sport
without our amazing volunteers and officials so
give them a great big smile and thank them!

#changetherein



EQUESTRIAN SPORTS
NEW ZEALAND

Ground Jury

Appeal committee

Judicial committee

Sports Tribunal



EQUESTRIAN SPORTS
NEW ZEALAND

Role of the Ground Jury

Article 101:26 sets out the definition of a “sanctioned event”

“...refers to the complete meeting, show, championship, games, rides or gymkhanas under the control of ESNZ. An event commences one hour before the beginning of the first Horse inspection and terminates half an hour after the announcement of the final results in the relevant discipline, unless the sports rules for the respective discipline provide otherwise”.

Article 139: Ground Jury and Appeal Committee – Judicial Duties and Process

1. The judicial role of the GJ is to promptly and fairly deal with matters and issues that are directly connected with a Competition or Competitions at an Event that may affect the outcome or result of the Competition or Competitions at an Event and therefore require timely consideration and resolution,.
2. The judicial role of the Appeal Committee is to hear appeals from a judicial decision of the GJ.
3. The jurisdiction of the GJ and Appeal Committee begins one hour before the start of the first Competition, or at the commencement of the event, as specified in the schedule and extends until 60 minutes after the conclusion of the last Competition at an Event, unless the GJ is required to hear a protest under Rule 15 of this Article 139 in which case the GJ will have jurisdiction to hear that protest and the Appeal Committee will have jurisdiction to hear an appeal from the GJ's decision regarding that protest.



APPEAL COMMITTEE

1. Acts as a straight appeal body for decisions of the Ground Jury. Appeals must be accompanied by a fee of \$100 and be submitted within 60 minutes of the Ground Jury's decision. Limited Appeal Rights from Appeal Committee to Judicial Committee.
2. Parties may only seek a review of an Appeal Committee decision – they cannot appeal. The review must be submitted within 10 working days and accompanied by \$500. The Judicial Committee only reviews the process or considers whether there is substantial new evidence.
3. A party cannot appeal if they simply don't like the decision of the Appeal Committee– there has to be a procedural flaw or a breach of natural justice.
4. The Appeal Committee does not need to be onsite. It can be called or even convened after the event.

JUDICIAL COMMITTEE

1. The Judicial Committee's jurisdiction includes:
 - a) A request for a review from the decision of an Appeal Committee
 - b) A protest that has been determined by the Ground Jury can also be referred to the Judicial Committee by the Ground Jury if the GJ consider there are wider implications for the sport
 - c) A selection dispute as per the National Selection SR
 - d) A complaint referred by the Complaints Review Officer
 - e) A matter referred by ESNZ (e.g. more than two official warnings in a 12 month period)
 - f) Any other matter as determined by the CEO of ESNZ (general catch all).
2. ESNZ has the power to select the Judicial committee each time from the ESNZ Board appointed Judicial Panel list. This allows ESNZ to select the most suitable Committee for each individual matter.



EQUESTRIAN SPORTS
NEW ZEALAND

GENERAL REGULATIONS

Anyone on a GJ should have a printed copy of the General Regs with them at all times, they can be downloaded or printed from the ESNZ website at <https://www.nzequestrian.org.nz/wp-content/uploads/2020-ESNZ-General-Regulations-V2.5-Clean-1.pdf>

These are your best friend and should be studied at length if you are going to run an event, or officiate at an event. They are just as important to you as officials as the Discipline rules.

The judicial system was reviewed two years ago and has been working well. It provides for independence and natural justice.

Natural justice outlines that everyone must have an opportunity to be heard. That means you must always hear both sides of the story in an impartial way. Don't ever pre-empt a decision with a casual remark that can be mistaken for a predetermined result.



EQUESTRIAN SPORTS
NEW ZEALAND



CHANGES TO THE GENERAL REGS.....

Changes can be made to the General Regs at any time by the ESNZ Board. So if there is something urgent or unclear this can be tidied up quickly.

For all other matters, the ESNZ Technical Committee sits in the first quarter of the year and determines any issues that have come to the fore in the preceding year and looks to address concerns, developments or changes in the FEI rules that are relevant for inclusion.

Disciplines are advised the meeting is coming up and can submit through the relevant Board, any issues or changes they want to discuss.

The ESNZ Technical committee is chaired by Scott McKenna, it includes Vet General Tony Parsons, Steward General Susan O'Brien, Medical Advisor Graeme McCrory, NZPCA CEO Samantha Jones and a representative of each discipline.



EQUESTRIAN SPORTS
NEW ZEALAND



**KEEP
CALM
AND
FOLLOW THE
RULES**

KeepCalmAndFoolers.com

- DO NOT deviate from the rules. You will not get into trouble if you stick to the rules.
- The most issues we see are when people have allowed people a dispensation to do something, not followed the rules or just let something slide under the table without it being addressed.
- DO ask for help if you are not sure.



EQUESTRIAN SPORTS
NEW ZEALAND



EQUESTRIAN SPORTS
NEW ZEALAND



EQUESTRIAN SPORTS
NEW ZEALAND

The Judicial System

of Equestrian Sports New Zealand

The Role of the ESNZ Official

nzequestrian.org.nz

GROUND JURY



EQUESTRIAN SPORTS
NEW ZEALAND

WHAT IS NATURAL JUSTICE IN A HEARING?

Natural Justice requires that people must be allowed an opportunity to present their case where their interests and rights may be affected by a decision maker.

To ensure that these rights are respected, the decision maker (you as the Ground Jury) must give people the opportunity to give their side of the story and to respond to arguments presented by the opposing side.

When conducting an investigation in relation to a complaint, the person being complained against must be advised of the allegations in as much detail as possible and must be given the opportunity to reply to the allegations.



EQUESTRIAN SPORTS
NEW ZEALAND

NATURAL JUSTICE AND BIAS

This second rule states that no one should judge his or her own case. This requires the decision maker to be unbiased when holding a hearing or making the decision.

Additionally, investigators and decision makers must act without bias in all procedures connected with the making of a decision.

A decision maker must be impartial and must make a decision based on a balanced and considered assessment of the information and evidence before them without favouring one party over another.

Even where no actual bias exists, investigators and decision makers should be careful to avoid the appearance of bias. Investigators should ensure that there is no conflict of interest which would make it inappropriate for them to conduct the investigation.



EQUESTRIAN SPORTS
NEW ZEALAND

EVIDENCE AND NATURAL JUSTICE

The third rule is that an administrative decision must be based on logical proof or evidence.

Investigators and decision makers should not base their decisions on speculation or suspicion, or on assurances of what might be done at a future date. Rather, an investigator or decision maker should be able to clearly point to the evidence on which the inference or determination is based.

Evidence (arguments, allegations, documents, photos, etc) presented by one party must be disclosed to the other party, who may then subject it to scrutiny.



EQUESTRIAN SPORTS
NEW ZEALAND

The importance of the Ground Jury

The President of the Ground Jury and the Ground Jury itself are an important part of the competition structure. Most discipline rules have a definition or job description of the roles and what they should be covering/checking during an event.

Usually you would appoint your most senior officials at the event or even appoint someone extra as your PGJ who is not judging/officiating but is well versed in the rules and the judicial process.

The Ground Jury's role is to ensure there is a clear and consistent process for dealing with complaints or protests. And that the principles of natural justice are adhered to.

Article 138 of the Gen Regs sets out how you establish a perceived or real conflict of interest. An example might be – if you are the judge in the last class of the day and the protest is about the judging of that class you are not best placed to be on the GJ. Likewise, the course designer of a class where the course is being protested cannot be on the GJ.

The President can appoint someone else to stand in your place.



EQUESTRIAN SPORTS
NEW ZEALAND



TRAITS AND CHARACTERISTICS..

Patience, tolerance and the ability to listen openly

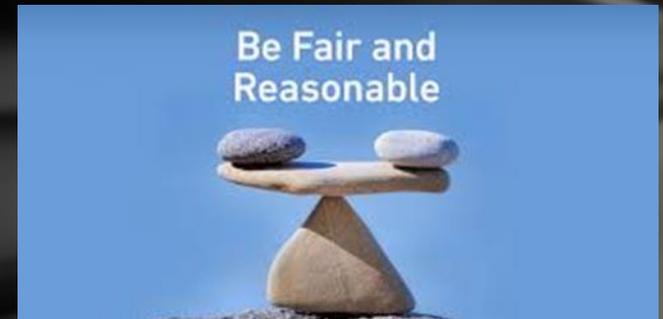
Senior experience in the sport in an official capacity

Knowledge of the rules

The ability to see both sides of the story

Impartiality – as much as is possible

Know when you need extra input or help



EQUESTRIAN SPORTS
NEW ZEALAND

PRESIDENT OF THE GROUND JURY

The following key aspects for the role of President of the Ground Jury are:

- If possible review the schedule prior to it being advertised.
- Have officials' information should you need to contact them.
- Hold a briefing each morning with judges if you feel it is essential and there are any competitions or conditions judges need to pay specific attention to.
- If necessary, have a debriefing at end of each day, especially if problems have occurred.
- Check Stewards - timetables, and, confirm availability.
- Medical – events must not start until appropriate medical cover is in place.
- Timetable – check provisional timetable, number of competitors per day per ring.
- Health and Safety – consult with Chief Steward regarding availability of medical personnel, access to areas, liaise and advise regarding health and safety to public, competitors and horses.



EQUESTRIAN SPORTS
NEW ZEALAND

PRESIDENT OF THE GROUND JURY

Veterinary – availability and contact number for veterinarian, ensure 165 screens are available in case of euthanasia.

- Exercising – check all practice arenas, practice obstacles with Chief Steward
- Media– Ensure all photographers have been approved by the organising committee and have high visibility attire at all times when in the competition arena.
- Check communications - public address system, communications for Ground Jury, course designer, call steward.
 - Jumping Arena - arena fence, arena surface, jump material, ring crew, timing systems, stop watches, spare jump material, emergency services.
 - Check that results going to National Office are correct.
 - Schedule and Programme - check for ambiguities in particular series conditions and article numbers, ensure any changes are announced and relayed to all competitors over the PA system.
 - Welfare of horses is paramount at all times and safety of competitors and public at all times.
 - Where applicable check horse ID papers.



EQUESTRIAN SPORTS
NEW ZEALAND

ITS REALLY IMPORTANT THAT YOU DON'T DECIDE BEFORE YOU DECIDE!!!!

- Never give an opinion on how you think it might go until you have heard both sides and have had a meeting to jointly decide.
- Check the General Regs and make sure you have followed the process.
- If in doubt ask for help.



EQUESTRIAN SPORTS
NEW ZEALAND

Some important points for Ground Juries in dealing with protests.

- As a Ground Jury member, at an event, who may have the job of dealing with a protest situation, it's important to remember that your decision can have an effect on a result, that could have lasting repercussions for one or more competitors.
- It's vital that the sports' rules are being upheld but also that health and safety and welfare of the horse are put above any aspirations, however great or small they may be.
- Your job is always to apply the rules so that there remains a level playing field, at all times.
- Always remain impartial.
- Be professional and calm at all times.
- Never put anyone down or criticise anyone's actions.
- Never offer a view or opinion until you've heard both sides of the story.
- Make sure you have fully heard both sides of the story, and gathered any supporting evidence supplied, before discussing, as a Ground Jury, privately and without interruption (and make considerations if the competition is still taking place).
- The person putting in the protest or being protested about has a fair opportunity to be heard on the matters in issue.
- Be empathetic - put yourself in their shoes.
- You are always part of a team - never act alone.
- You are free from bias (including apparent bias) or pre-determination.
- Perception can often be mistaken for reality so be careful to avoid perception.
- It is important that any decision maker is as independent as possible, and conflicts of interests are minimised.
- Don't be in a hurry to leave the event as a protest decision needs careful consideration that is not rushed.
- Consider whether the issue gave an advantage or disadvantage.
- Consider the outcome options as the solution may not always be black or white.

EARS....

1

When I'm upset
- I need to speak up

2

When they're upset
- I need to listen up

3

When we're both upset
- I need to listen first

When I'm upset
- I need to speak up

DESCO

Describe

the behaviour affecting you

Express

the impact the behaviour is having on you, or the feelings you're having about it

Specify

the behaviour you want

Ask for a

Commitment

to this change

When they're upset
- I need to listen up

Take the **HEAT** out of it

H

Hear them out

E

Empathise

A

Agree next steps

T

Tell them when you've done what you said you would

When we're both upset
- I need to listen first

Be all **EARS**

E

Engage - focus on what the person is saying (not on what you want to say)

A

Ask - get information so you can understand what has happened, how they are affected and what they want

R

Reflect - reflect back what you understand is being said to ensure you have got the intended message

S

Summarise - make your best attempt to summarise the other's situation including what's happened, how that has affected them, anything they want, and acknowledge their feelings



EQUESTRIAN SPORTS
NEW ZEALAND

PROTESTS



EQUESTRIAN SPORTS
NEW ZEALAND

PROTESTS

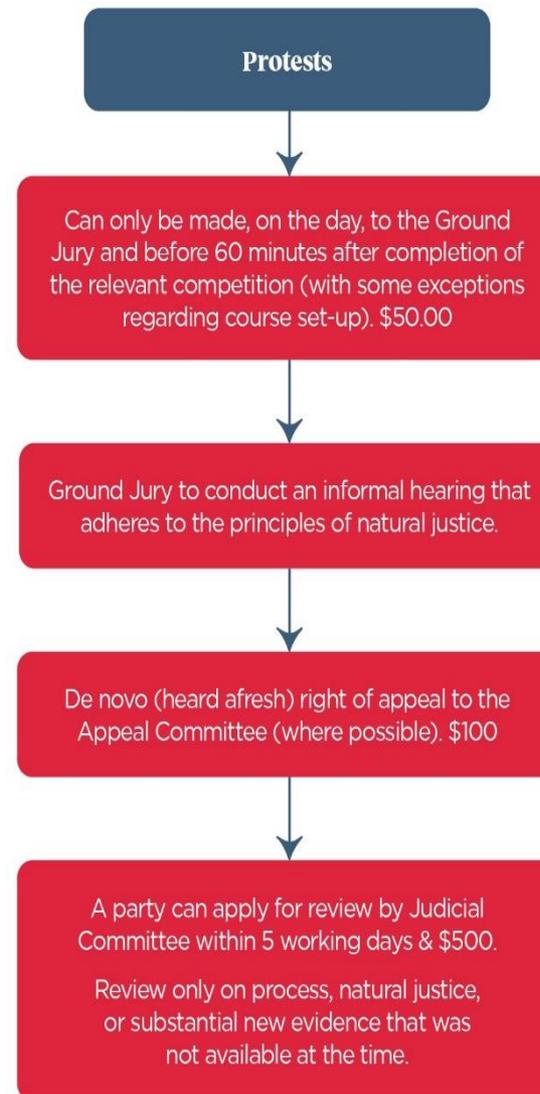
FOR A PROTEST TO BE VALID IT MUST BE IN ALIGNMENT WITH ARTICLE 138:13 of the GRs

- Must be made by a competitor in the competition from which the protest ensues. (Or their parent if under 18).
- Be submitted in writing and accompanied by a fee of \$50. (If you're on the GJ probably good to have some forms at the secretary's office just in case).
- It must reference the relevant rule alleged to have been infringed from the Gen Regs or the Discipline rules.
- Must be submitted to the GJ no longer than 60 minutes after the competition (the class) has finished.



EQUESTRIAN SPORTS
NEW ZEALAND

Protest flow chart



Confidential Record of Formal Protest

Filed as per Chapter Nine of the ESNZ General Regulations.
This form must be lodged with the applicable ESNZ
Ground Jury and a fee of \$50.00 payable to ESNZ - 06-0645-0074840-00.
This form will remain confidential to the event Ground Jury and ESNZ.

DATE FORMAL PROTEST RECEIVED:

____/____/____
ESNZ OFFICE USE ONLY

EVENT DETAILS

Name of Event/Competition:

Name of athlete: ESNZ #:

Date: Location:

PERSONAL DETAILS

Name of person lodging protest:

Over 18 Under 18 Phone:

Email:

Role of person lodging protest: Administrator (Volunteer) Athlete/Rider Spectator Official

Coach/Assistant Coach Athlete/Rider Employee (Paid) Parent Support Personnel

Other (please state)

Name of person/incident being protested:

Over 18 Under 18

Respondent's role/position (if applicable): Administrator (Volunteer) Athlete/Rider Spectator Official

Coach/Assistant Coach Athlete/Rider Employee (Paid) Parent Support Personnel

Other (please state)

Please describe briefly the protest incident/issue and the rules it relates to (use a separate sheet if necessary):

Methods (if any) of attempted informal resolution:



Confidential Record of Formal Protest

Please indicate if you will be supplying additional information to support your protest and when the GJ can expect to receive this:

Witness names and contact details:

Please also indicate if the witnesses will be providing written reports and/or attending any hearing that is convened.

Protestor's Signature:

Date:

GROUND JURY USE ONLY

Received by:

Time:

Protest Fee: Amount NZ \$50.00

If the protest is upheld, the protest fee will be refunded. Otherwise the protest fee is to be forwarded to ESNZ

Protest Accepted: Yes

Date:

Time:

If accepted, date and time for Ground Jury Evaluation

Protest Accepted: No if No - Reason for not accepting:

Decision of Ground Jury (use a separate sheet if necessary):

GROUND JURY NAMES

SIGNATURES

GROUND JURY NAMES	SIGNATURES

Protest Decision Explained to: Athlete Being Protested Person Submitting Protest

THIS RECORD AND ANY NOTES MUST BE KEPT IN A CONFIDENTIAL AND SAFE PLACE AND FORWARDED TO ESNZ CEO.



WHAT CAN A RIDER PROTEST ABOUT.....



Article 138:11

- A breach of Discipline Rules or By Laws and/or ESNZ Regulations by a competitor while riding and competing in an Event (for the avoidance of doubt this is conduct that could not be the basis of a Complaint).
- The eligibility of a competitor for a Competition or Event.
- The eligibility of a horse for a Competition or Event.
- The eligibility of any combination of horse and rider for a Competition or Event.
- An obstacle, or to the plan or length of a course for a jumping Competition.
- The cross-country course or obstacles at an Event.
- The classification of a Competition.
- Anything relating to Article 3 of the VRs – which is the article on prohibited substances.



WHAT A RIDER CANNOT PROTEST ABOUT?



EQUESTRIAN SPORTS
NEW ZEALAND

Article 139:12

There is no Protest against:

1. Decisions of the Ground Jury arising from the field of play, which are final and binding, such as, but not limited to:
 - (a) where the Decision is based on a factual observation of performance during a Competition or the awarding of marks for performance;
 - (b) whether an obstacle was knocked down; whether a Horse was disobedient; whether a Horse refused at an obstacle or knocked it down while jumping;
 - (c) whether an Athlete or Horse has fallen;
 - (d) whether a Horse circled in a combination or refused or ran out;
 - (e) the time taken for the round;
 - (f) whether an obstacle was jumped within the time; and/or
 - (g) whether the particular track followed by an Athlete caused him/her to incur a penalty under the applicable Sport Rules.
2. The Elimination or Disqualification of a Horse for veterinary reasons, including nonacceptance of a Horse at a Horse Inspection unless otherwise specified;
3. The Elimination or Disqualification of an Athlete for medical reasons/fitness to compete.

The protest form is on the website:

https://www.nzequestrian.org.nz/wp-content/uploads/22586-ESNZ-Protest-Form_Interactive.pdf



EQUESTRIAN SPORTS
NEW ZEALAND

OFFICIAL WARNINGS

Article 143



Only the Ground Jury, Appeal Committee, and Judicial Committee may issue Official Warnings.

This is because two Official Warnings result in a two month ban which is a significant penalty.

Therefore, there should be some opportunity for an affected party to appeal an Official Warning. In practice, an official who used to have the power to issue an Official Warning would now report what he/she has seen to the Ground Jury who would investigate.

All official warnings are made public on a rider's profile by the addition of a yellow flag next to their name.

If a rider gets three official warnings within 12 months of the second warning then the matter is referred to a Judicial Committee.



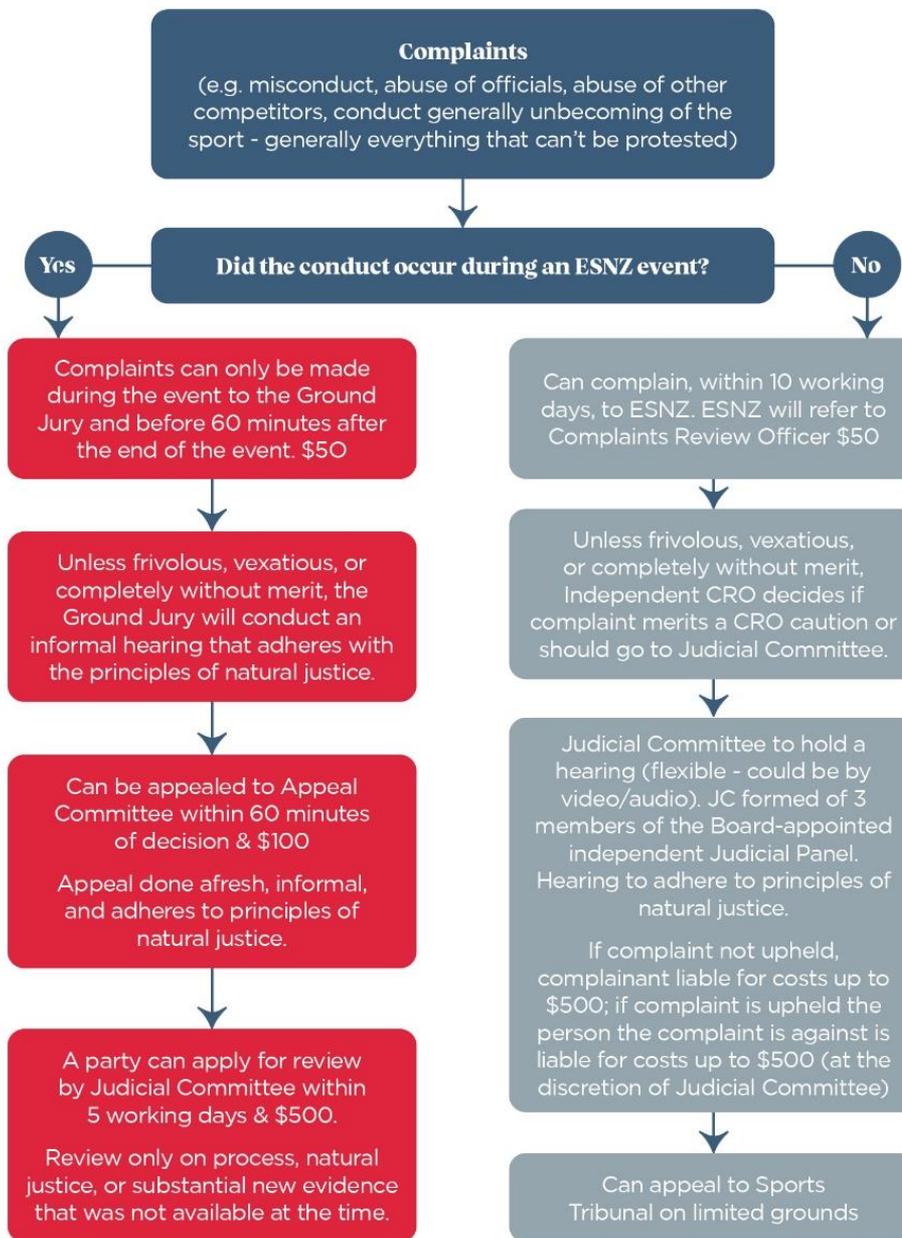
EQUESTRIAN SPORTS
NEW ZEALAND

COMPLAINTS

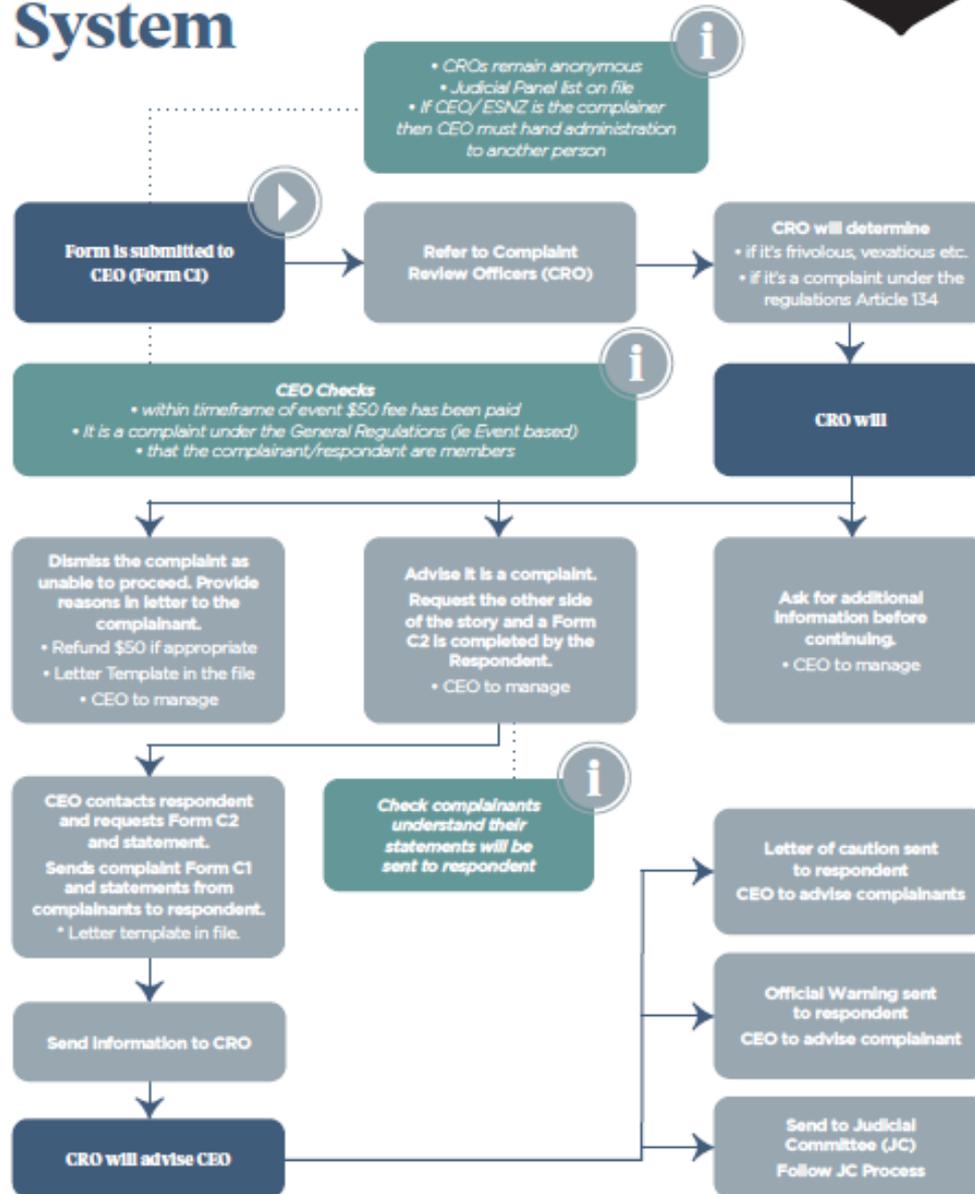


EQUESTRIAN SPORTS
NEW ZEALAND

Complaint flow chart



ESNZ Complaint System





DATE STATEMENT OF COMPLAINT RECEIVED:

____/____/____
ESNZ OFFICE USE ONLY

EQUESTRIAN SPORTS NEW ZEALAND

STATEMENT OF COMPLAINT – Form C1

- Filed as per articles 139 and 140 of the ESNZ General Regulations.
- This form must be lodged with the applicable ESNZ Ground Jury or ESNZ Complaints Review Officer and a fee of \$50.00 payable to ESNZ – 06-0645-0074840-00.
- A copy of this completed form and attachments may be sent to the Respondent (organisation and or the person the complaint refers to).

ESNZ JURISDICTION

Only members or Officers of ESNZ are able to lodge a complaint against a member under ESNZ Regulations. If you are not a member of ESNZ at the time of the incident, you cannot make a complaint, nor will ESNZ act on it, unless there are exceptional circumstances that may warrant ESNZ initiating an investigation based on credible information received from outside of its membership.

ESNZ has jurisdiction only over its members and who have therefore agreed to be bound by the Regulations of ESNZ. If a person is not a member, they are not bound by ESNZ's regulations and policies. ESNZ can only take action for issues that are related to breaches of its regulations and policies. Any and your complaint(s) that are not covered by its current regulations and policies can be must made to other dispute resolution forums. These might include for example other tribunals or the civil courts.

To: Equestrian Sports New Zealand & the Respondent

(Please note that a copy of this complaint will be sent to the person complained about to get their response)

1. Complainant – (person making the complaint)

Name:		
Postal address:		
Email address:		
Contact details:	Cell:	Home:
Current financial member of ESNZ:	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Age:	<input type="checkbox"/> Under 18	<input type="checkbox"/> 18 or older



EQUESTRIAN SPORTS
NEW ZEALAND



DATE STATEMENT OF COMPLAINT RECEIVED:

____/____/____
ESNZ OFFICE USE ONLY

EQUESTRIAN SPORTS NEW ZEALAND

RESPONSE TO COMPLAINT – Form C2

- Filed as per articles 139 and 140 of the ESNZ General Regulations.

To: Equestrian Sports New Zealand & the Complainant
(Please note that a copy completed form will be sent to the Complainant)

1. Complainant – (person that made the complaint)

Name: _____

2. Respondent – (Organisation and or the person the complaint refers to)

Name:		
Postal address:		
Email address:		
Contact details:	Cell:	Home:
Current financial member of ESNZ¹:	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Age:	<input type="checkbox"/> Under 18	<input type="checkbox"/> 18 or older

3. The Respondent's view in relation to the complaint specified in the statement is

¹ ESNZ can only investigate complaints that are against its current members or affiliated organisations.



**EQUESTRIAN SPORTS
NEW ZEALAND**

JUDICIAL COMMITTEE



EQUESTRIAN SPORTS
NEW ZEALAND

ESNZ Judicial Committee Process

CRO refers the issue to the
Judicial Committee (JC)

CEO selects Judicial Panel from list in the file.
Barrister must lead the process plus two others

Check all, declare they have no conflict with
the complainant or respondent

Appoint administrator from ESNZ staff

JC sets its own process and administrative
support arranges meetings, keeps all documents
on file and records minutes of all meetings

JC calls hearing

JC decides on process

Chair of committee writes final report
advising CEO of outcome.



- Any behaviour breach of key policy documents: Bullying, Behaviour, Child Protection, Bringing ESNZ into disrepute
- Animal abuse Issue
- Selection Issue



WORKING EXAMPLES



EQUESTRIAN SPORTS
NEW ZEALAND

Working Examples

Inappropriate behaviour witnessed in the truck park. Both parties complained, but not at the event – posted a letter after the event.

A pony seen wearing an illegal bit but was not noticed by any judges. Protest was not lodged however it was reported to the OC.

A rider was immediately eliminated for using a whip.



EQUESTRIAN SPORTS
NEW ZEALAND



DRESSAGE

Working Examples

Day one of a two day distance event. A rider had tried to catch up to the leaders in the final loop of the ride. They entered the final vet gate together. The horse of the rider trying to catch up was immediately failed for both lameness and heart rate and is obviously very tired and will probably require veterinary support to aid recovery. As the rider left the vet ring with her horse, an official at the event commented “you shouldn’t have been trying to catch up to the leaders as your horse clearly wasn’t up to that speed”. This was witnessed by both an event Steward and Ground Jury member.

The following day, 45 minutes after the event had ended, the vetted out rider submitted a written complaint, accompanied by \$50 cash, against the official in question for making the remark. The grounds for the complaint being that the comment was uncalled for and the rider was already upset.



EQUESTRIAN SPORTS
NEW ZEALAND



ENDURANCE

Working Examples

At a Premiere Show a senior rider is on course in the jump-off of an Art238 2.2 competition. As the rider approaches a double combination the crowd around the ring start shouting as one of the ring crew is behind the second element of the double and is partly in the way. The person got out of the way in time but the rider took the top rail out of the B element.

The rider finished the course with a very fast time and only the four penalties incurred at the B element of the double. The rider lodged a protest as they felt it was unfair to be given penalties as someone was in the way.

A rider competing in a Cat A competition was apparently told by the Judge that they would win the class.

Upon hearing the results of the class the rider was not placed. The rider's Mother then put in a protest given they believed that the rider should have won the class.



EQUESTRIAN SPORTS
NEW ZEALAND



Working Examples

The winner of an Amateur Rider competition is found to be ineligible after the results are announced and the prize giving has been held. As this was not picked up prior to the competition, a protest was put in after the fact.

A young rider travels a long way to an event that has a Young Rider competition advertised as they are chasing series points. They win the class but are not allocated any points as the competition in question was not carded on the ESNZ Jumping calendar.

A horse competing in a 6 year old series competition goes double clear and will be allocated 6 points on the leader board. After the class a protest is put in by another competitor as they believe the horse is only five years old as it was imported from Europe.



EQUESTRIAN SPORTS
NEW ZEALAND



FAQ



EQUESTRIAN SPORTS
NEW ZEALAND



- **During what time at the event can a protest be lodged?** *On the day and up to 60 minutes after the completion of the competition.*
- **During what time at the event can a complaint be lodged?** *Complaints can ONLY be made during the event to the GJ and prior to 60 minutes after the end of the event.*
- **What differentiates a protest from a complaint?** *Protests are basically concerning the competition – anything that may unfairly affect the outcome or results. Complaints cover everything that can't be protested – misconduct, abuse – of the horse, an official, another competitor, conduct which is generally unbecoming of the sport.*
- **Do competitors have to use the official protest and complaint forms if they wish to lodge a protest or complaint?** *No, while it is preferable to use the forms provided on the ESNZ website, a protest or complaint can still be accepted if not on the form, as long as it is in writing and providing the same information the form asks for.*
- **A competitor is unsure about putting a protest in after witnessing another competitor breaking the rules, can they discuss this with a GJ member first?** *Preferably not as that could conflict the GJ member. It would be wise to advise them to discuss with the TD or Chief Steward, who are not part of the GJ.*



FAQ



- **A Jumping competitor has a rail down in the ring that they were penalised for which they believe was not their fault – can they protest this?** *No, this would fall under GR Article 139.12*
- **A competitor wishes to protest a jump in a XC course the day before this is to be ridden, what is the process and timeline for this?** *Protests concerning the XC obstacles or the course in Eventing must be lodged no later than 6pm on the day before the competition.*
- **Can video coverage be used as evidence for a protest?** *Yes, provided it was recorded and obtained within the rules.*
- **A rider removes his helmet for the award ceremony while still mounted. He puts it back on, undone, to leave the ring. Five minutes later another competitor (further down in the placings) lodges a protest against the rider who removed their helmet. Is this a protestable offence?** *GR Article 151.4 applies: While riding at any showgrounds/competition venue, or ESNZ venue the use of a properly fastened, approved, tagged protective headwear is mandatory. This includes ceremonies where riders are mounted. GR Article 151.5 should be applied (ie written warning for first offence).*
- **A class has just finished and one rider comes forward claiming that the winner of the class was seen whipping her horse excessively in the warm-up. What course of action do you take?** *The rider/complainant needs to lodge a complaint on the day, to the GJ. It is then up to the GJ to decide the next steps.*





- **You are an Official at an event and witness a form of horse abuse (Article 132) outside the ring. What steps can you take?** *A complaint does not necessarily need to be made for you to act on any witnessed form of horse abuse. However, DO NOT ACT ALONE when dealing with this. If the abuse is ongoing (ie excessive use of the whip) then you should stop it immediately. Next you should bring it to the attention of the GJ who will talk with the rider/member committing the abuse and make a decision on how this should be dealt with.*



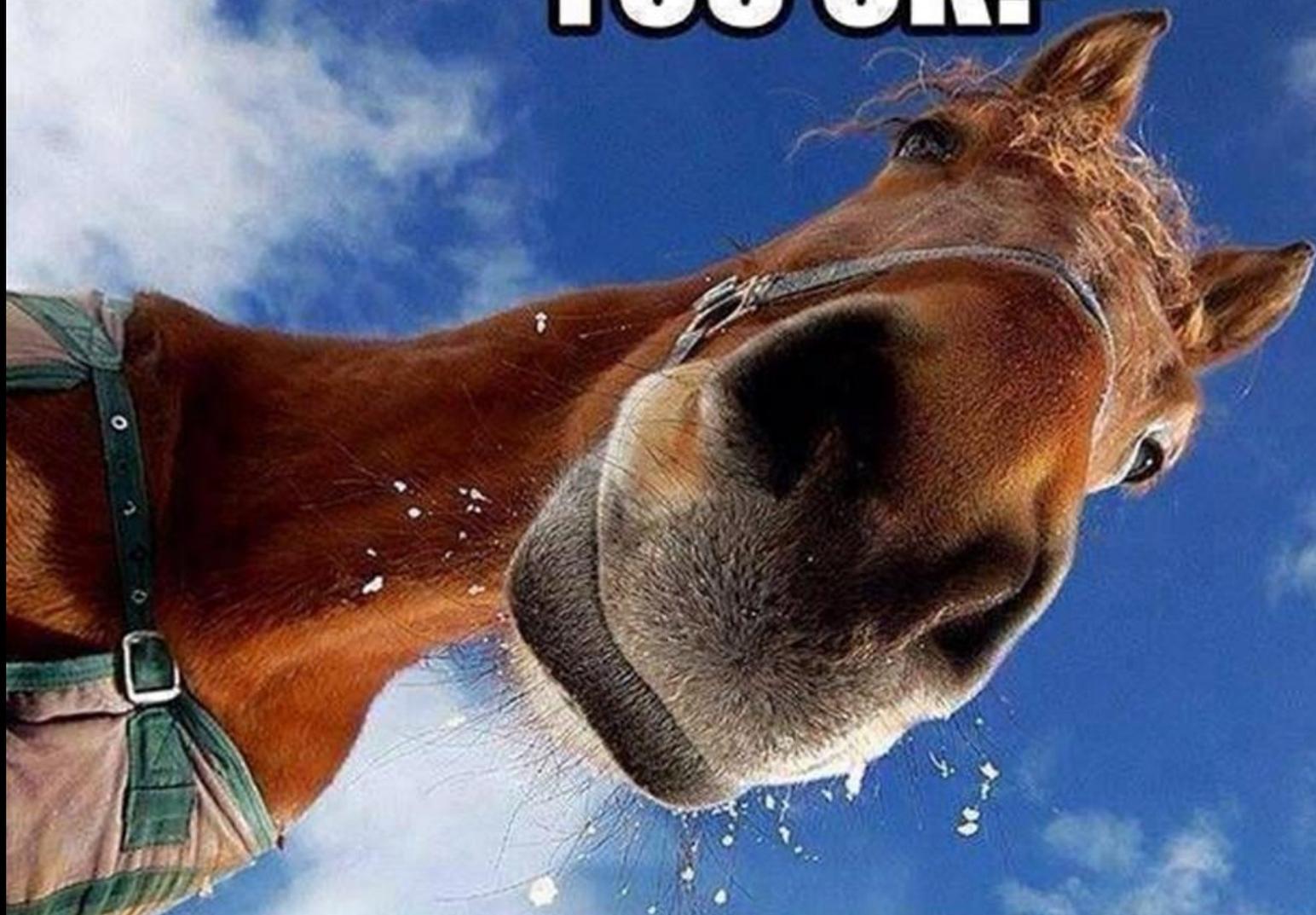
EQUESTRIAN SPORTS
NEW ZEALAND

SAFETY AND CONCUSSION



EQUESTRIAN SPORTS
NEW ZEALAND

YOU OK?



CONCUSSION AND SERIOUS INJURY

Created a section in the General Regulations specifically relating to concussion and serious injuries.

Still working on changes to minimum first aid requirements for all disciplines and continually reviewing.

Developed an online blue card system for concussion **AND** serious injuries. Blue cards loaded on rider profiles.

Developed information and support for officials and first aiders at events.





EQUESTRIAN SPORTS
NEW ZEALAND

BLUE CARDS FOR CONCUSSION & SERIOUS INJURY

.....
THE ROLE OF THE ESNZ OFFICIAL

NZEQUESTRIAN.ORG.NZ



EQUESTRIAN SPORTS
NEW ZEALAND

CONCUSSION AND SERIOUS INJURY – BLUE CARDS



- We're all responsible: Officials, other riders, friends, family.
- Concussion and serious injuries are serious; we need to take them seriously.
- Blue Card logged on a rider's profile for the individual to see, officials and entry system operators will be able to see these as well.
- In order to remove a blue card from a rider's profile, the must provide medical clearance from a GP or registered medical specialist.
- If found to be riding without providing clearance, the rider may face disciplinary action from ESNZ.



After the event you MUST ADD the blue card to the Riders ESNZ profile – here's how.....

1. Log in to the ESNZ Database and click on 'Add Official Card' under the User drop down menu.
2. Choose 'Blue Card' from the drop down 'Note Type' menu.
3. Select the Event from the drop down 'Event' menu.
4. Select the Rider using their full name and ESNZ number (be sure it is correct, the Secretary can provide you with this information if required).

*If the event is not listed please call us and we can add it for you.
04 499 8994*

Home > Dashboard > Add Official Card

Add Official Card

Add a card

NOTE TYPE *
2 Blue Card

EVENT *
3 12th May Dressage (12/05/2012)

RIDER *
4 Heidi Bulfin (252072)



EQUESTRIAN SPORTS
NEW ZEALAND

5. Use the content box to provide a brief description of what happened or any other relevant information.
6. Log the date the incident occurred and the Blue Card was issued.
7. Log the time the incident occurred.
8. Check the tick boxes if applicable.
9. Save and send to submit.

If you have a blank field and the form won't submit write n/a in that field.

The screenshot shows a web browser window with the URL 'ESNZ' and the page title 'Edit Dashboard Page'. The browser's address bar shows '8' and '0' next to the refresh and search icons. The page has a dark sidebar on the left with the following menu items: Pages, Orders, My Account, My Equines, My Results, Directory, News & Events, Shop, and Help. The main content area is white and contains a form with the following fields and elements:

- A large text area at the top, labeled with a red '5'.
- A 'DATE *' field, labeled with a red '6'.
- A 'TIME OF INCIDENT *' field, labeled with a red '7'.
- A 'SEEN BY MEDICAL PERSONNEL AT EVENT' field with a checkbox, labeled with a red '8'.
- A 'TAKEN TO HOSPITAL' field with a checkbox, labeled with a red '9'.
- A blue button at the bottom right labeled 'SAVE AND SEND NOTIFICATION'.

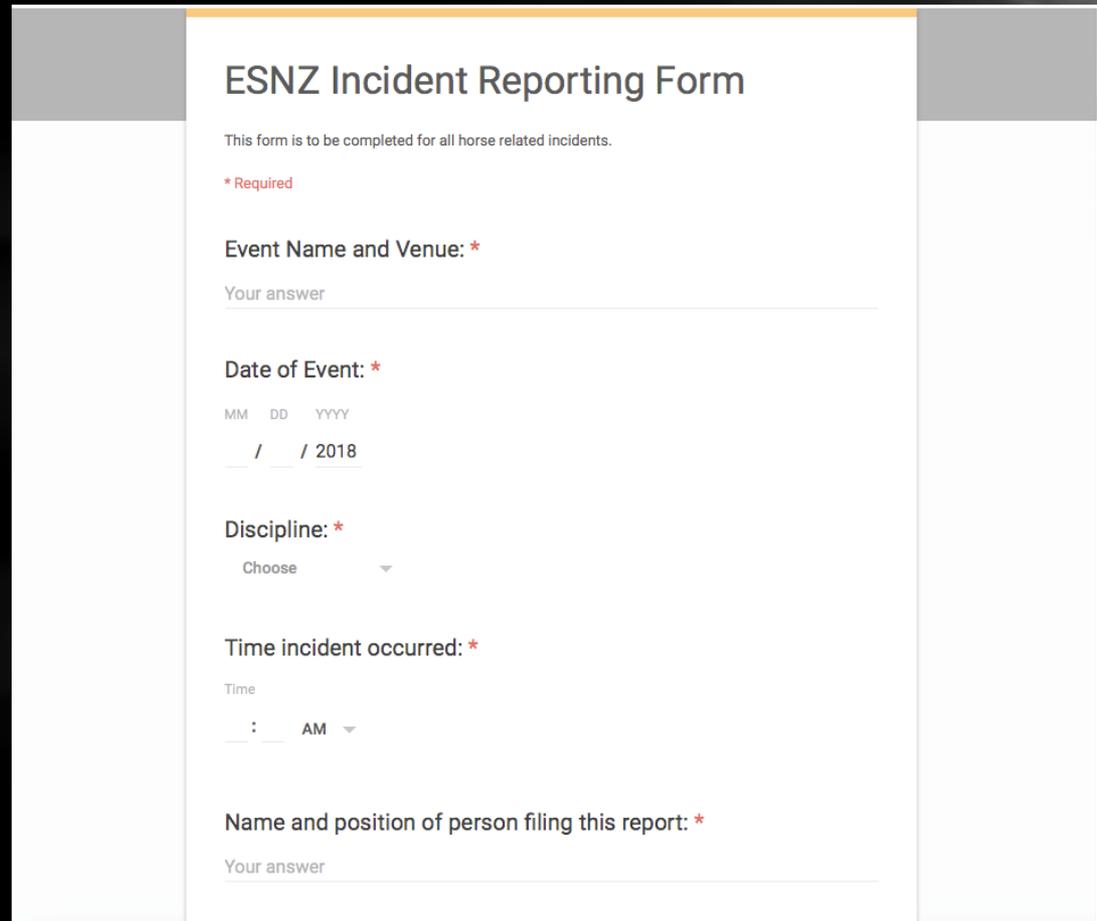


Incident Reporting Form

There's still one more step in the process – you must also complete an incident form. Go to the Safety Page on the ESNZ website:

<https://www.nzequestrian.org.nz/safety/online-incident-report/>

These reports are critical as we must report on the statistics and information collected.



The screenshot shows the ESNZ Incident Reporting Form. The title is "ESNZ Incident Reporting Form". Below the title, it states "This form is to be completed for all horse related incidents." There are four required fields, each marked with a red asterisk:

- Event Name and Venue:** A text input field with the placeholder "Your answer".
- Date of Event:** A date input field with the placeholder "MM DD YYYY" and the year "2018" pre-filled. The format is shown as "/ / 2018".
- Discipline:** A dropdown menu with the placeholder "Choose".
- Time incident occurred:** A time input field with the placeholder "Time" and "AM" pre-filled. The format is shown as " : AM".

At the bottom, there is a field for **Name and position of person filing this report:** with the placeholder "Your answer".

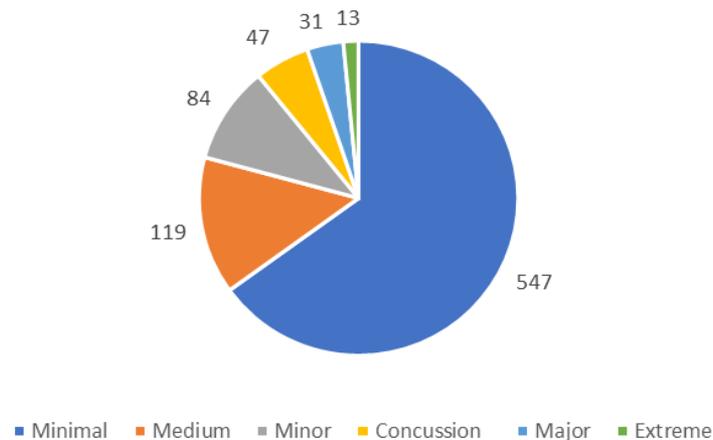


EQUESTRIAN SPORTS
NEW ZEALAND

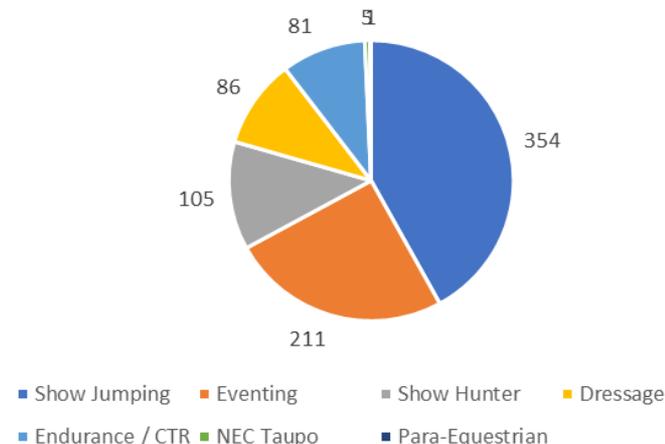
Health and Safety Reporting

Incident reporting summary (since reporting began 2016)

Incidents by Level

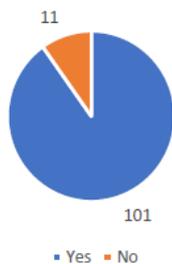


Incident reporting by discipline

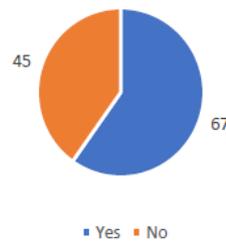


Blue card reporting summary (since reporting began August 2018)

SEEN BY MEDICAL



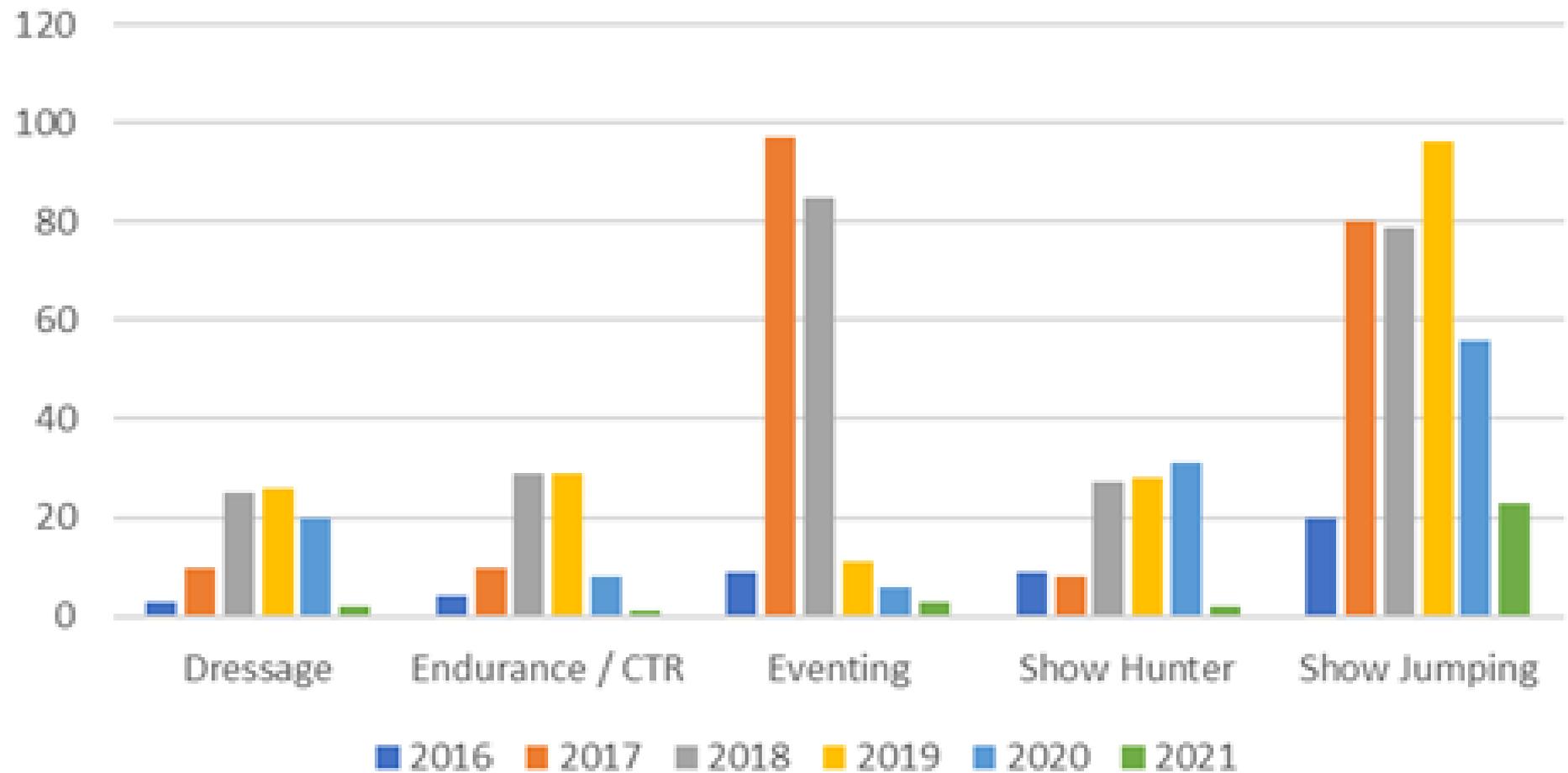
TAKEN TO HOSPITAL



MEDICAL CLEARANCE



Incidents per discipline per year



Jumping Fall Cards – Article 244



All competitors that fall in the competition arena, practise arena or elsewhere on the showgrounds must be checked by the medic for health and safety reasons under ESNZ Jumping Rules Art 224. This MUST occur before you continue to ride, even if you have another horse in the same class.

- ❖ Keep a list of all falls in the competition arena with the name of competitor, time and severity of the fall.
- ❖ If a competitor falls and has another horse in the same class, they may ride their other horse providing they have been checked by a medic. This may mean giving them a later start if the competition is in draw order or dropping their place on the blackboard if in blackboard order.
- ❖ If a competitor falls after the finish line they must be checked by the medic.
 - ❖ In a call back jump off – they may start the jump off AFTER they have been checked
 - ❖ In an instant/immediate jump off they are eliminated from the jump off but still need to be checked before starting in another competition or class.



EQUESTRIAN SPORTS
NEW ZEALAND

Event Medical Check	
Date:	_____
Event:	_____
Location:	_____
Rider Name:	_____
Mobile:	_____
<small>All riders that fall in the competition arena, practise arena or elsewhere on the show grounds must be checked by the medic for health and safety reasons under ESNZ Jumping Rules Art 224. This must occur before you continue to ride even if you have another equine in the same class.</small>	



Practise Arena

- ❖ Any fall in the practise arena must be checked prior to the competitor taking part in the class or competition.
 - ❖ Either the Medic, Steward, TD or PGJ will inform the Judges in the ring then make contact when the competitor has been checked.
 - ❖ You may need to give the competitor a later start in the draw or on the blackboard.

Article 203 – Bell

- ❖ Any competitor who falls from the time they enter the competition arena regardless of if the bell has been rung will NOT be able to start in the competition in question. They must also be checked by the medic before starting in any other competition of on another horse.

If you are not informed or see the competitor riding do not eliminate them. This new rule is designed to educate not eliminate. Make note of the competitor and inform the Chief Steward, TD or PGJ so that they can talk to the competitor.



EQUESTRIAN SPORTS
NEW ZEALAND

MEMBER ORGS AND ASSOCIATED EVENTS



EQUESTRIAN SPORTS
NEW ZEALAND

MEMBER ORGANISATION

CURRENT MEMBER ORGANISATIONS

- ✓ Kapiti Vaulting Club
- ✓ NZ Show Horse Council Inc.
- ✓ St Peters School Equestrian
- ✓ Lake Ridge Equestrian – Takapoto
- ✓ Northgate Lodge
- ✓ Woodhill Sands
- ✓ Equifest
- ✓ Waikato Equestrian Centre
- ✓ Jumping Waipa

BENEFITS

- ✓ One off annual contract and fee
- ✓ Can hold any number of events during the year
- ✓ ESNZ Officials
- ✓ ESNZ Rules
- ✓ Judicial System
- ✓ Access to discounted public and statutory liability insurance at an extra cost
- ✓ Closer links to the discipline official calendars



EQUESTRIAN SPORTS
NEW ZEALAND

ASSOCIATED EVENT

POINTS TO NOTE:

- Any independent sports day or committee running a one-off event which wants to use ESNZ rules and officials
- Any independent sports day or committee running a one-off event which would like to access the ESNZ discounted public and statutory liability insurance.
- Fee is due per event
- Rules cannot be part used
- A member of the organising committee must be an ESNZ member
- Public Liability insurance limit: \$5m
- Statutory Liability insurance limit: \$2m
- Must adhere to all ESNZ health and safety guidelines

BENEFITS

- ✓ ESNZ Rules
- ✓ ESNZ Officials
- ✓ Access to discounts public and statutory liability insurance
- ✓ Judicial system



EQUESTRIAN SPORTS
NEW ZEALAND



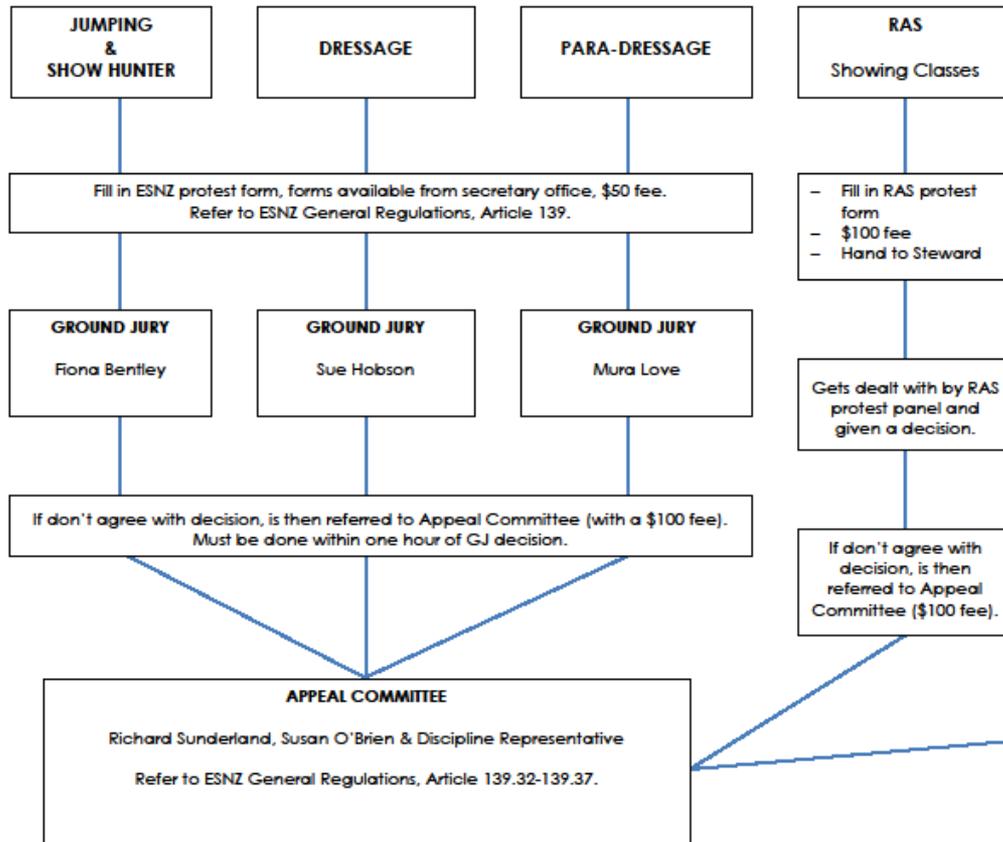
HOY JUDICIAL PROCESS



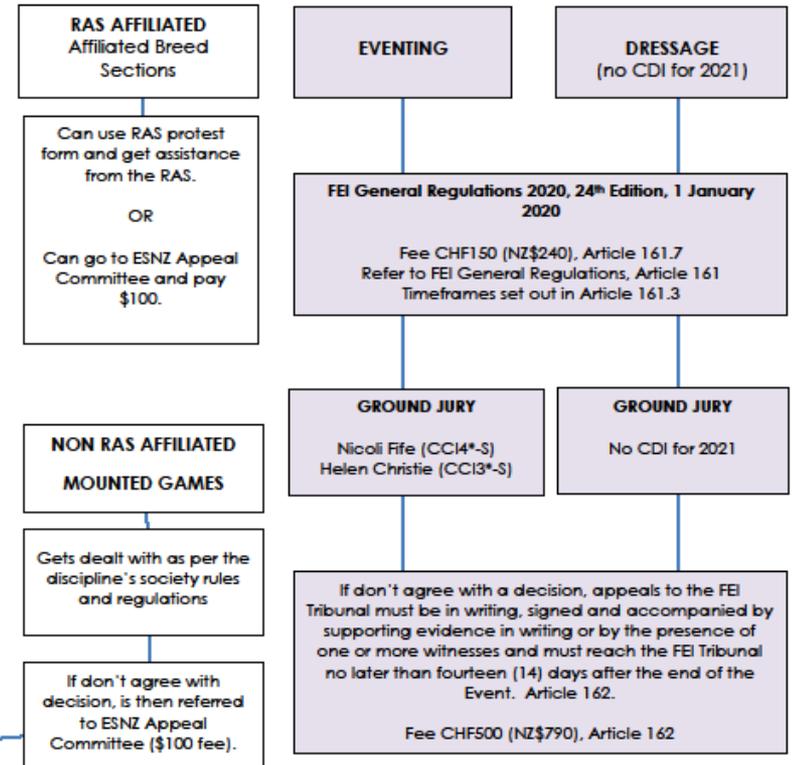
EQUESTRIAN SPORTS
NEW ZEALAND

APPEAL COMMITTEE PROCESS FOR LAND ROVER HORSE OF THE YEAR SHOW 2021

NATIONAL



INTERNATIONAL





**KEEP
CALM
AND
FOLLOW
THE RULES**



EQUESTRIAN SPORTS
NEW ZEALAND

WHAT HAPPENS NEXT....?

- ✓ We will send you a link to all of the resources, this presentation and the Judicial system booklet so you can download them onto your computer and print them at home.
- ✓ You should look at the examples provided and work your way through them for your discipline. If you would like to discuss your thoughts about these then we can provide some names of people that you could talk to about the conclusion you arrived at.
- ✓ Make yourself familiar with the website and the section on rules and regulations so you know where to go. If you have problems call the team at ESNZ and ask for Aimee or Heidi and they will help you to find all the places you need to go.
- ✓ Prepare a little folder with all of the information in it, a copy of the General Regs and the forms you might need at an event so you have it ready and with you.
- ✓ Write down some key contacts in your folder so you have the names and numbers ready in the event you need extra help. If you need help deciding who those might be, ask your discipline sport manager for some guidance.



EQUESTRIAN SPORTS
NEW ZEALAND

CONTACT DETAILS 04 499 8994

Incident reporting and concussion cards – Heidi 021 544 576

General Regulations and Judicial rules – CEO (Dana at the moment) 027 281 6213

Website navigation and assistance – Aimee, Emma or Heidi 04 499 8994

Discipline assistance

Dressage Wendy Hamerton 027 240 2702

Eventing Eliza Johns 021 734 829

Endurance Jo Lankow 027 238 0533

Jumping Marilyn Thompson 021 612 817

ShowHunter Marilyn Thompson 021 612 817

Para-equestrian Aimee Cotter 04 499 8994

Office issues

National Operations Manager Emma Gowan

021 244 5214



EQUESTRIAN SPORTS
NEW ZEALAND



EQUESTRIAN SPORTS
NEW ZEALAND