

CHAPTER 3 – OFFICIALS AND OC

ARTICLE 470 – ELIGIBILITY, DUTIES & RESPONSIBILITIES OF JUDGES

For all ESNZ graded competitions the Chief Judge must either be an ESNZ listed judge, or a foreign national judge approved by Dressage NZ.
(See also ESNZ General Regulation's Article 135)

- 470.1 Eligibility: The ESNZ Dressage Judge must:
- 470.1.1 have knowledge of the principles of Dressage and the ESNZ Rules; and
 - 470.1.2 be classified from List G to A according to his or her competence; and
 - 470.1.3 be qualified to judge to the highest level their List allows. As per Article 471; and
 - 470.1.4 undertake any training and testing and meet any requirements set by ESNZ Dressage for each List of Judge.
- 470.2 An ESNZ Dressage Judge is always representing ESNZ Dressage and ESNZ.
- 470.3 An ESNZ Dressage Judge must avoid any actual or perceived conflict of interest. An ESNZ Dressage Judge must have a neutral, independent, and fair position towards riders, owners, trainers, organisers and other officials and integrate well into a team. Financial and or personal interest must never influence his/her way of judging.
- 470.4 No ESNZ Dressage Judge may officiate at a competition if their duties will involve a conflict of interest subject to the following:
- 470.4.1 A Judge must declare, to the OC, their interest in any Athlete or horse competing at National events (e.g. NCH, HOY, or Competitions at an event which are designated as ESNZ national titles) and other named events specified by Dressage NZ that the Judge has trained within six months preceding the event;
 - 470.4.2 A Judge must declare, to the OC, their interest in any person or horse competing at Regional Events and ICH that the Judge has trained within two months preceding the event.
 - 470.4.3 At all Events a Judge must declare, to the OC, their interest in any horse competing that they have owned/part-owned or have any business interest in within the twelve months preceding the event.

- 470.4.4 When a Judge has declared any interest under Articles 470.4.1, 470.4.2 and/or 470.4.3 the OC will then allocate that judge to competitions in which the horse and/ Athlete do not take part.
- 470.5 For the purposes of subsections 4.1-4.4 (inclusive):
- 470.5.1 "Trained" refers to any training within the specified stand down period;
- 470.5.2 "Training" has its usual plain English meaning and includes coaching, teaching & schooling, whether remunerated or not;
- 470.5.3 In the event of any dispute as to whether a Judge has trained a horse or person the OC will make a final determination as to whether the Judge has trained a horse or rider and is subject to Article 470.4
- 470.6 The ESNZ Dressage Judges sub-committee in conjunction with the OC (or FEI where applicable), and within the schedule approved by the ESNZ Dressage Board, will appoint the Judges for the New Zealand Dressage Championships and the Grand Prix Dressage Horse of the Year.
- 470.7 Duties & Responsibilities of the ESNZ Dressage Judge
- 470.7.1 Judges are responsible for the judging of each individual competition and adjudicating any matters that may fall within their jurisdiction as defined under the ESNZ General Regulations and ESNZ Dressage rules.
Examples: Confusion over entry to the arena, lateness for start time without a reason acceptable to the Chief Judge, exceptional disturbance to the test or if the welfare of the horse is being compromised.
- 470.7.2 If there are two or more Judges officiating on a competition, one judge must be appointed as the Chief Judge.
- 470.7.3 The use of electronic communication, including mobile phones, is forbidden while judging.
- 470.7.4 Alcohol must not be consumed by judges until they have completed judging for that day's competition.

ARTICLE 471 – NUMBER AND LIST OF JUDGES

	Non Graded / Training event	Graded classes at Local event	Regional event, ICH	NCH & Named events
Introductory (L0)	Judge 1: DNZ trainee or above	N/A	N/A	N/A
Preliminary (L1)	Judge 1: G or above Whenever possible, G level judges should have access to a supporting judge.	Judge 1: G or above , plus Judge 2: G or above OR Judge 1: F or above	Judge 1: G or above , plus Judge 2: E or above OR Judge 1: A or FEI	Min. 3 judges: Judge 1: D or above , plus Judge 2: C or above , plus Judge 3: C or above
Novice (L2)	Judge 1: F or above	Judge 1: F or above	Judge 1: F or above , plus Judge 2: E or above OR Judge 1: A or FEI	Min. 3 judges: Judge 1: D or above , plus Judge 2: C or above , plus Judge 3: C or above
Elementary (L3)	Judge 1: E or above	Judge 1: E or above	Judge 1: E or above , plus Judge 2: E or above OR Judge 1: A or FEI	Min. 3 judges: Judge 1: D or above , plus Judge 2: C or above , plus Judge 3: C or above
Medium (L4)	Judge 1: E or above	Judge 1: E or above , plus Judge 2: E or above OR Judge 1: A or FEI	Judge 1: E or above , plus Judge 2: E or above OR Judge 1: A or FEI	Min. 3 judges: Judge 1: D or above , plus Judge 2: C or above , plus Judge 3: C or above
Adv Medium (L5)	Judge 1: D or above	Judge 1: D or above	Judge 1: D or above , plus Judge 2: D or above OR Judge 1: A or FEI	Min. 3 judges: Judge 1: D or above , plus Judge 2: C or above , plus Judge 3: C or above
Advanced (L6)	Judge 1: C or above	Judge 1: C or above , plus Judge 2: C or above OR Judge 1: B or above	Judge 1: C or above , plus Judge 2: C or above OR Judge 1: A or FEI	Min. 3 judges: Judge 1: C or above , plus Judge 2: C or above , plus Judge 3: C or above

Small Tour (L7)	Judge 1: B or above	Judge 1: B or above	Judge 1: B or above , plus Judge 2: B or above OR Judge 1: A or FEI	Min. 3 judges: Judge 1: B or above , plus Judge 2: B or above , plus Judge 3: B or above
Medium Tour (L8)	Judge 1: A or FEI	Judge 1: B or above , plus Judge 2: B or above OR Judge 1: A or FEI	Min. 3 judges: Judge 1: A or FEI , plus Judge 2: A or FEI , plus Judge 3: A or FEI	Min. 3 judges: Judge 1: A or FEI , plus Judge 2: A or FEI , plus Judge 3: A or FEI
Big Tour (L9)	Judge 1: A or FEI	Judge 1: B or above , plus Judge 2: B or above OR Judge 1: A or FEI	Min. 3 judges: Judge 1: A or FEI , plus Judge 2: A or FEI , plus Judge 3: A or FEI	Min. 3 judges: Judge 1: A or FEI , plus Judge 2: A or FEI , plus Judge 3: A or FEI
Para Dressage	Judge 1: D or above (Para Dressage) judge Whenever possible, the judge is an accredited Para Dressage judge.	Judge 1: D or above (Para Dressage) judge Whenever possible, the judge is an accredited Para Dressage judge.	Min. 2 judges: Judge 1: C or above Para Dressage judge , plus Judge 2: B or above Para Dressage judge	Min. 2 judges: Judge 1: B or above Para Dressage judge , plus Judge 2: A or FEI level Para Dressage judge
Young Dressage Horse Refer to the DNZ Young Dressage Horse Competition Rules, Section 12 for details.	4, 5 & 6YO class: Min. 2 judges: Judge 1: C or above to have completed a YDH seminar, plus Judge 2: C or above 7 YO class: As above, plus Technical judge: B level or above sitting at C	4, 5 & 6YO class: Min. 2 judges: Judge 1: C or above to have completed a YDH seminar, plus Judge 2: C or above As above, plus Technical judge: B level or above sitting at C	4, 5 & 6YO class: Min. 2 judges: Judge 1: FEI Young Horse judge , plus Judge 2: A or B level NZ Young Horse judge OR Judge 1: A level Young Horse judge , plus Judge 2: A or B level NZ Young Horse judge 7 YO class: As above, plus Technical judge: A or B level sitting at C	4, 5 & 6YO class: Min. 2 judges: Judge 1: FEI Young Horse judge , plus Judge 2: FEI Young Horse judge OR Judge 1: FEI Young Horse judge , plus Judge 2: A or B level NZ Young Horse judge 7 YO class: As above, plus Technical judge: A or B level sitting at C

ARTICLE 472 – JUDGE PLACEMENT

472.1 If the dimensions of the competition area do not permit the Judge to sit as set out in the table below, then the Judge should sit as far from the edge of the arena as possible.

Judge	Outdoor arena	Indoor arena
C	maximum 5m/minimum 3m back	preferably minimum 3m back
M, H	maximum 5m/minimum 3 m back and 2.5 m in from long side	preferably minimum 3m back and 2.5m in from long side
E, B	maximum 5 m/minimum 3m back	preferably minimum 3m back

Where 2 judges are used and the layout of the arena allows, 1 judge should sit at E or B.

Where 3 judges are used and the layout of the arena allows, 1 judge must sit on the long side diagonally opposite the M or H Judge on the short side.

Number of Judges	Position on arena
1	Positioned at C (Chief Judge)
2	Second judge should be positioned at B, E, M or H
3	One judge should be placed at B or E when a judge is placed at H/M, the judge placed at E/B must be placed diagonally opposite the judge at M/H
5	Positioned at C, M, B, E and H

472.2 Under exceptional circumstances and more particularly for indoor competitions, exceptions as to the positioning of Judges, may be made by the Technical Delegate or the Ground Jury.

472.3 Should exceptional circumstances mean the correct number and/or grade of judge are not available to officiate in a competition, the President of the Ground Jury may allow the competition to proceed with less than the minimum required number and/or grade of judges for that competition. If the OC does not make a request for this exemption to the President of the Ground

Jury and the incorrect number and or grade of judges officiate, the results of the competition will be invalid for grading purposes.

ARTICLE 473 – MARKING

473.1 All movements, and certain transitions from one to another, which have to be marked by the Judges, are numbered on the Test sheets.

473.2 Each movement/transition is marked from 10 to 0 by each Judge, 10 being the highest mark and 0 the lowest. Decimal marks of 0.5 may be used for all movements and collective marks in all levels of tests.

473.3 The scale of marks is as follows:

10 Excellent	4 Insufficient
9 Very good	3 Fairly bad
8 Good	2 Bad
7 Fairly good	1 Very bad
6 Satisfactory	0 Not executed**
5 Sufficient	

** "Not executed" means that practically nothing of the required movement has been performed.

473.4 Collective marks are awarded, after the competitor has finished their performance, as indicated on the test. Each collective mark is awarded from 10 to 0. Decimals of 0.5 may be used.

In Freestyle competitions half marks (0.5) may be used for all technical marks and 0.1 decimals may be used for the artistic marks.

473.5 The collective marks and certain difficult and/or infrequently repeated movements, may be given a coefficient and is specified on the official test.

473.6 Any corrected mark must be initialled by the judge who made the correction.

473.7 Judges marks must be recorded in ink.

ARTICLE 474 – UNNOTICED ERRORS

474.1 If the Judge for any reason does not realise until after the competitor has left the arena, that one or more marks have been omitted they must:

474.1.1 Adjust as necessary the position of their marks and comments on their sheet to accord with the movements actually performed; and

474.1.2 Give to each of the movements not marked, a mark equal to the average of the collective marks entered at the bottom of the score sheet (average to the nearest, 0.5 to be rounded upwards).

- 474.1.3 Judge must record the penalty for error of course on the test sheet. The decision as to whether an error of course has been made will be at the sole discretion of the Chief Judge. The other Judges' scores will be adapted accordingly. Refer Article 448.7

ARTICLE 475 – OUTSIDE DISTURBANCES

- 475.1 If a foreign object is in the arena, or there is other extraordinary outside disturbance which could unfairly influence the performance of a test, the test should be stopped by the Chief Judge, and can continue once the object has been removed, or disturbance settled. No new scores will be given for work before the interruption. It is at the discretion of the chief judge at which point the test will be restarted and whether the rider may be requested to represent later at a time to suit the schedule.

ARTICLE 476 – GROUND JURY

- 476.1 In all events run under these Rules the Judges for each individual class shall be the Ground Jury for that class
- 476.2 The members of the Event Ground Jury must be nominated before the start of the Event
- 476.3 In the case of protest or complaint refer to ESNZ General Regulations Chapter Nine (Legal System)
- 476.4 NZPCA Ground Jury and Appeal Committee: Refer Annex 10 Para A.

ARTICLE 477 – CONFERRING

- 477.1 Judges must not confer during a competition except when a List F or G Judge is a member of the panel and requests to confer with the Chief Judge under special circumstances.

ARTICLE 478 – SCORER

- 478.1 An official should be appointed to check that all movements have been marked as per the test sheet and that the Judge/s have signed the test sheets
- 478.2 Scorers and/or any official of a competition responsible for the collating and publication of competition results must ensure such collating and publication is done so in accordance with Articles 442, 451, 473, 479, 480. Scorers and/or officials must ensure the Judge/s are informed of a tie prior to the publication of the results of a competition.

ARTICLE 479 – DISPLAY OF MARKS

- 479.1 The percentage awarded to the competitors by each Judge must be displayed under the Judge's own name, as well as the percentage gained from the total marks possible for final classification in the competition.
- 479.2 Organising committees must notify riders of the time that the official results are deemed to be announced by writing the time on the result sheet when the official result is officially posted by the OC.
- 479.3 Online entry scores and results are provisional until the OC has verified and posted the official result

ARTICLE 480 – FINAL CLASSIFICATION OF COMPETITIONS

- 480.1 The winner is the competitor having obtained the highest total points.
- 480.2 In the case of equality of marks in any competition, the higher collective marks will determine the higher placing. If the competitors are still equal then the co-efficient marks will determine the placings. If there is still an equality of points, the competitors are given the same placing.
- 480.3 Freestyle Tests: If two competitors have the same final total, the one with the higher marks for Artistic Presentation will be the winner. If they are still equal on the marks for Artistic Presentation then the competitors are given the same placing.

ARTICLE 481 – PRIZE MONEY

- 481.1 Local & Regional Events : Prize money is not compulsory at Local & Regional Events. If prize money is to be awarded, the amount/s will be at the discretion of the Organizing Committee and must be published in the schedule.
- 481.2 National & Named Events: Prize money, or goods/trophies to at least equivalent value of the Entry Fee (not including levies) must be paid to all 1st to 4th placed horses or ponies in graded competitions at National Events. However Prize money, goods or trophies for competitions restricted to a particular category or categories of horses & riders at National or Named events is at the discretion of the OC and must be published in the schedule.
- 481.3 Further prize money may be awarded at the discretion of the Organising Committee.
- 481.4 From time to time the relevant rules under the ESNZ General Regulations will govern prize money in circumstances where these Rules are silent or do not cover dressage competitions run by ESNZ.

ARTICLE 482 – TECHNICAL DELEGATES & EVENT STEWARDS

482.1 Dressage NZ recommends:

482.1.1 that Organising Committees appoint a suitably experienced person who is required to be a National judge (any level) to act as Technical Delegate for all major events;

482.1.2 that Organising Committees appoint an Event Steward or Stewards whose duties will be those listed in ESNZ GR's governing "Stewards" and "Technical Delegates".

ARTICLE 483 – CONFORMATION

483.1 Unless it is a requirement of the category or type of competition, the conformation of the horse or pony is not to be taken into account when judging a competition.

ARTICLE 484 – USE OF TESTS

484.1 Tests other than those issued by ESNZ and the FEI may only be used in competitions held under ESNZ Rules with the approval of the ESNZ Dressage Judges Officer or Technical Officer.

ARTICLE 485 – ARENA

485.1 Rope and tape arenas are prohibited at all dressage competitions run under ESNZ Rules for Dressage.

485.2 For Pony Club events refer to Annex 10 Para B.

485.3 The arena should be:

485.3.1 As level as possible with the grass not too long.

485.3.2 The arena must be enclosed, with the optional exception of the gateway, by a low fence about 30cm high.

485.3.3 In exceptional circumstances intermittent white boards may be used placed at each corner and opposite each marker;

485.3.4 The gateway should be approximately 2 metres wide;

485.3.5 If a permitted arena material is used eg plastic chain, it must be at least 45cm but not more than 60cm above the ground.

485.3.6 The rails of a fence, if used, should be such to prevent the horse's hooves from entering

- 485.4 The letters outside the arena should be placed about 0.50 metres from the arena fence and clearly marked. It is desirable to place a special marker on the fence itself, level with and in addition to the letter concerned.
- 485.5 When no gatekeeper is used, the marker A should be placed as far back as practicable to allow the competitor to enter the arena on a straight line.
- 485.6 A centre line is recommended, except as in 485.6.1 but is left to the discretion of the OC. When a centre line is used it should be clear but discreet. The points at D, L, X, I and G should not be marked.
- 485.6.1 For freestyle tests and young horse tests a centre line is not recommended.
- 485.7 The public should be kept at least 10 metres from the arena. For indoor competitions, the minimum distance should in principle be 3 metres.
- 485.8 The difference in elevation across the diagonal or along the length of the arena shall in no case exceed 0.50 metres. The difference in elevation along the short side of the arena shall in no case be more than 0.20 metres.
- 485.9 Depending on the state of the surface of the arena, the Technical Delegate or Chief Judge may request a pause in the competition to reconstitute the surface of the arena. For indoor competitions and when all weather surfaces are used, the OC should allow time within the competition schedule to comply with this.
- 485.10 Arenas should, wherever possible, be erected with a minimum of three metres between them.

ARTICLE 486 – USE OF ARENA

- 486.1 With the exception of an OC declaring that an arena is available for use other than for competition, a competitor/horse may only use the competition arenas during their performance in a competition. A competitor/horse will be disqualified for breach of this Article.
- 486.2 For indoor and special competitions exceptions for use of an arena may be made by the Technical Delegate or the Event Ground Jury. Riders must be notified of any exception being made.
- 486.3 When the Technical Delegate decides that an indoor or all-weather arena does not allow sufficient space for a horse to be ridden around the outside prior to a test, the horse may be permitted to work inside the arena for one minute prior to the commencement of the test. Competitors must remain inside the arena and then turn down the centre line at A when the bell is rung to start the test.
- 486.4 **Arena Familiarisation**

The OC may permit riders to work, ride in or around the competition arena at such times and on such terms as the OC sees fit. All riders in the same class must be given the same opportunity to work in or around the arena.

486.5 **Training & Working In Areas**

OC's should, when possible include the area around the outside of the competition arenas in the permitted training and working in areas to facilitate familiarisation of the arena surrounds, prior to the commencement of the first scheduled competition at the event.

ARTICLE 487 – VETERINARY INSPECTIONS & EXAMINATIONS

487.1 Horse Inspections or Examinations will be conducted in accordance with the appropriate ESNZ and/or FEI GR's when specified in the event schedule.

ARTICLE 488 – RIDER OR HORSE/PONY INELIGIBILITY FOR EVENTS OR COMPETITIONS

488.1 In the case of an Event organiser discovering an error/s in results the following will apply:

488.1.1 Should a competitor or horse/pony be found to be ineligible for an Event, competition, championship, or series from the official start time of the Event, up to and including 60 days after the Event, all prize money, sashes/rosettes, and any other prize awards must be returned to the Event OC so they can be redistributed. Any championship, qualifying or series points or scores gained will also be corrected.

488.1.2 Any prizes, series, championship or qualifying points or scores deducted for ineligibility of competitor or horse/pony cannot be regained for that event or competition even if the reason that caused the disqualification is later rectified and backdated. Any grading points gained will stay on the horse/pony's competition record.

488.1.3 Should an Event OC discover at any time from the official start time of the Event up to and including 60 days after the Event, that a mistake has been made in competition results, championships, series or qualifying points or scores awards they may request any prize money, ribbons and any other awards be returned so they can be redistributed. Any championship, qualifying or series points or scores awarded must also be corrected.